

### General information about company

Scrip code	500672
NSE Symbol	
MSEI Symbol	
ISIN	INE234A01025
Name of the company	Novartis India Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2024
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table VI - Statement showing foreign ownership limits**

<b>Particular</b>	<b>Approved limits (%)</b>	<b>Limits utilized (%)</b>
As on shareholding date	74	71.13
As on the end of previous 1st quarter	74	71.18
As on the end of previous 2nd quarter	74	71.26
As on the end of previous 3rd quarter	74	71.13
As on the end of previous 4th quarter	74	71.11

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	17450680			17450680	70.68	17450680		17450680	70.68
(B)	Public	41238	7240117			7240117	29.32	7240117		7240117	29.32
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	<b>Total</b>	<b>41239</b>	<b>24690797</b>			<b>24690797</b>	<b>100</b>	<b>24690797</b>		<b>24690797</b>	<b>100</b>

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				70.68					17450680			
(B)	Public				29.32					6964810	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					24415490	0	0	0

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
(e)	Any Other (specify)	1	17450680			17450680	70.68	17450680		17450680	70.68
Sub-Total (A)(2)		1	17450680			17450680	70.68	17450680		17450680	70.68
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	17450680			17450680	70.68	17450680		17450680	70.68
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	5	803			803	0	803		803	0
(d)	Banks	12	2604			2604	0.01	2604		2604	0.01
(e)	Insurance Companies	1	125456			125456	0.51	125456		125456	0.51
(i)	NBFCs registered with RBI	2	2890			2890	0.01	2890		2890	0.01
Sub-Total (B)(1)		20	131753			131753	0.53	131753		131753	0.53
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	16	46029			46029	0.19	46029		46029	0.19
(g)	Any Other (specify)	2	98			98	0	98		98	0
Sub-Total (B)(2)		18	46127			46127	0.19	46127		46127	0.19
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(f)	Investor Education and Protection Fund (IEPF)	1	156620			156620	0.63	156620		156620	0.63
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	39124	5224571			5224571	21.16	5224571		5224571	21.16
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	5	442140			442140	1.79	442140		442140	1.79

(i)	Non Resident Indians (NRIs)	728	241134			241134	0.98	241134		241134	0.98
(j)	Foreign Nationals	1	54			54	0	54		54	0
(l)	Bodies Corporate	259	608932			608932	2.47	608932		608932	2.47
(m)	Any Other (specify)	1082	388786			388786	1.57	388786		388786	1.57
Sub-Total (B)(4)		41200	7062237			7062237	28.6	7062237		7062237	28.6
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+(B)(4)		41238	7240117			7240117	29.32	7240117		7240117	29.32
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		41239	24690797			24690797	100	24690797		24690797	100
Total (A+B+C )		41239	24690797			24690797	100	24690797		24690797	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(2)	Foreign											
(e)				70.68					17450680			
Sub-Total (A) (2)				70.68					17450680			
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				70.68					17450680			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				0					293	0	0	0
(d)				0.01					1907	0	0	0
(e)				0.51					125456	0	0	0
(i)				0.01					2890	0	0	0
Sub-Total (B) (1)				0.53					130546	0	0	0
(2)	Institutions (Foreign)											
(d)				0.19					46029	0	0	0
(g)				0					98	0	0	0
Sub-Total (B) (2)				0.19					46127	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(f)				0.63					156620	0	0	0
(g)				21.16					4952895	0	0	0
(h)				1.79					442140	0	0	0
(i)				0.98					238790	0	0	0
(j)				0					54	0	0	0
(l)				2.47					608892	0	0	0
(m)				1.57					388746	0	0	0
Sub-Total (B) (4)				28.6					6788137	0	0	0



Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				29.32					6964810	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100					24415490			
Total (A+B+C)				100					24415490			

**Any Other (specify)**

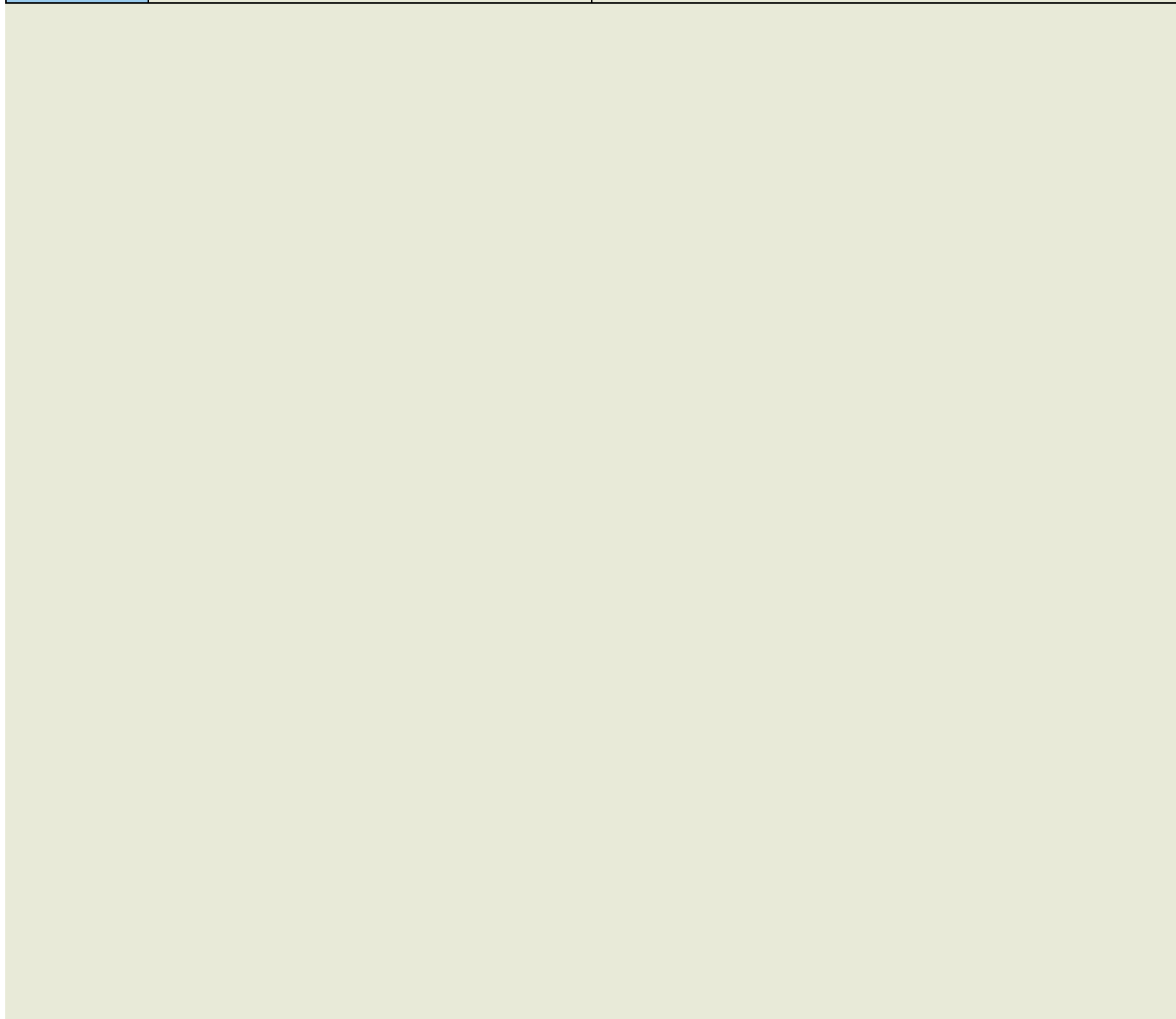
Serial No.	1	
Category	Bodies Corporate	
Name of the Shareholders (I)	Novartis AG	<a href="#">Click here to go back</a>
PAN (II)	AAACN4932F	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17450680	17450680
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	17450680	17450680
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	70.68	70.68
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	17450680	17450680
Class eg:y		
Total	17450680	17450680
Total as a % of Total Voting rights	70.68	70.68
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	70.68	70.68

capital) (XI)= (VII)+(X) As a % of (A+B+C2)		
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	17450680	17450680
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

## Bodies Corporate

Serial No.	1	
Name of the Shareholders (I)	Atul Limited	<a href="#">Click here to go back</a>
PAN (II)	AABCA2390M	Total
No. of fully paid up equity shares held (IV)	374627	374627
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	374627	374627
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.52	1.52
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	374627	374627
Class eg:y		
Total	374627	374627
Total as a % of Total Voting rights	1.52	1.52
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.52	1.52
<b>Number of Locked in shares (XII)</b>		

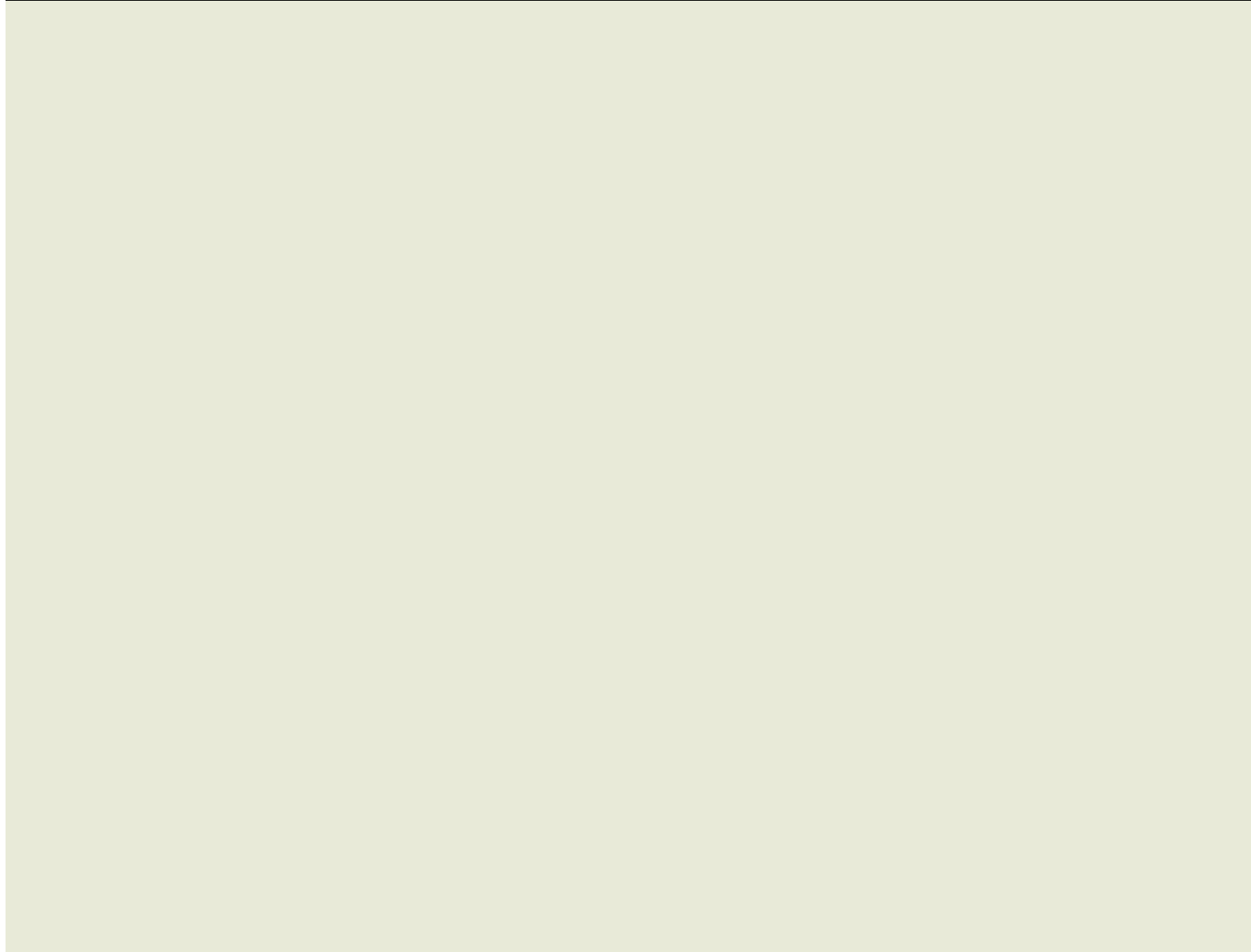
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	374627	374627
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0



**Any Other (specify)**

Serial No.	1	
Category	Other	
Category / More than 1 percentage	Category	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Foreign Institutional Investors	
PAN (II)		Total
No. of the Shareholders (I)	2	2
No. of fully paid up equity shares held (IV)	98	98
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	98	98
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	98	98
Class eg:y		
Total	98	98
Total as a % of Total Voting rights	0	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of	0	0

diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)		
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	98	98
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0



**Any Other (specify)**

Serial No.	1	2	3	4	5	
Category	Trusts	Others	LLP	HUF	Clearing Members	
Category / More than 1 percentage	Category	Category	Category	Category	Category	
Name of the Shareholders (I)		Escrow Account				<a href="#">Click here to go back</a>
PAN (II)						Total
No. of the Shareholders (I)	4	1	19	1054	4	1082
No. of fully paid up equity shares held (IV)	1400	30	91367	295861	128	388786
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1400	30	91367	295861	128	388786
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	0	0.37	1.2	0	1.58
<b>Number of Voting Rights held in each class of securities (IX)</b>						
Class eg: X	1400	30	91367	295861	128	388786
Class eg:y						
Total	1400	30	91367	295861	128	388786
Total as a % of Total Voting rights	0.01	0	0.37	1.2	0	1.58
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a						



percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.01	0	0.37	1.2	0	1.58
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	1400	0	91367	295851	128	388746
Reason for not providing PAN						
Reason for not providing PAN						
Sub-categorization of shares						
Shareholding (No. of shares) under						
Sub-category (i)	0	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0	0

