



Novartis India Limited
Registered Office:
Inspire BKC
Part of 601 & 701
Bandra Kurla Complex
Bandra (East)
Mumbai – 400 051
Maharashtra, India
Tel +91 22 50243000
Fax +91 22 50243010
Email: india.investors@novartis.com
CIN No. L24200MH1947PLC006104
Website: www.novartis.in

April 19, 2023

To,
The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Scrip Code: 500 672

Dear Sir/ Madam,

Sub.: Reconciliation of Share Capital Audit Report for the quarter ended March 31, 2023 under Regulation 76 of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 ('Regulations')

Pursuant to Regulation 76 of the Regulations, please find enclosed herewith the Reconciliation of Share Capital Audit Report for the quarter ended March 31, 2023 obtained from Saraf & Associates, Company Secretaries.

This is for your information and records.

Thanking you,

Yours faithfully,

For **Novartis India Limited**

Malpani Nikhil Digitally signed by Malpani Nikhil
DN: dc=com, dc=novartis, ou=people, ou=GR,
serialNumber=3079258, cn=Malpani Nikhil
Date: 2023.04.19 12:56:39 +0530'

Nikhil Malpani
Company Secretary and Compliance Officer

Encl.: as above

SARAF & ASSOCIATES

COMPANY SECRETARIES

www.sarafandassociates.com

423, Hind Rajasthan Building, 95, Dadasaheb Phalke Road, Dadar (C.Rly.), Mumbai - 400 014.

☎ (022) 2413 0371 / 2415 3887 ☎ +91 98203 20072 ✉ kamalax_saraf@hotmail.com

RECONCILIATION OF SHARE CAPITAL

1. For Quarter Ended : 31st March, 2023
2. ISIN : INE234A01025
3. Face Value : Rs.5/-
4. Name of the Company : Novartis India Limited
5. CIN : L24200MH1947PLC006104
6. Registered Office Address : Inspire - BKC, Part of 601 and 701, Bandra Kurla Complex, Bandra East, Mumbai Mumbai City MH 400051.
7. Correspondence Address : Same as above
8. Telephone & Fax No. : (T)+91 22 50243000, (F) + 91 22 50243010
9. Email Address : india.investors@novartis.com
10. Name of the Stock Exchange where the Company's securities are listed : Bombay Stock Exchange (BSE)

| | | No. of Shares | % of Total Issued Capital |
|-----|--|-----------------------|---------------------------|
| 11. | Issued Capital | 24690797 | |
| 12. | Listed Capital (Exchange wise) BSE/NSE (As per Company Records) | 24690797 | 100.00 |
| 13. | Held in Dematerialised form in CDSL | 2029754 | 8.22 |
| 14. | Held in Dematerialised form in NSDL | 22340594 | 90.48 |
| 15. | Physical | 320449 | 1.30 |
| 16. | Total No. of Shares (13 + 14 + 15) | 24690797 | 100.00 |
| 17. | Reasons for difference if any Between (11&12) (11 & 16) (12 & 16) | Not Applicable | |

RECONCILIATION OF SHARE CAPITAL AUDIT

18. Certifying the details of change in share capital during the quarter under consideration as per Table below:

| Particulars *** | No of shares | Applied / Not Applied for Listing | Listed on Stock Exchange (Specify Name) | Whether Intimated to CDSL | Whether Intimated to NSDL | In Principle Appl. Pending for SE (Specify Names) |
|--------------------|--------------|-----------------------------------|---|---------------------------|---------------------------|---|
| NIL | | | | | | |

*** Rights, Bonus, Preferential Issues, ESOP's, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to Specify)

19. Register of Members is updated (Yes/No) : Yes

20. Reference of previous quarter with regards to excess dematerialized shares if any : Nil

21. Has the company resolved the matter mentioned in point no.20 above in the current quarter? if not, reason why? :N.A.

22. Mention the total no. of requests, if any, Confirmed after 21 days and the total No. of requests pending beyond 21 days with the Reasons for delay * :

| Total No. of Demat Requests | No of Requests | No of Shares | Reasons for delay |
|-------------------------------|----------------|--------------|-------------------|
| Confirmed after 21 days | Nil | Nil | N.A. |
| Pending for more than 21 days | Nil | Nil | N.A. |

23. Name, Telephone & Fax no. of the Compliance Officer of the Company : Mr. Nikhil Malpani (A20869)
Company Secretary and Compliance Officer
Tel : +91 22 50243000
Fax: +91 22 50243010

24. Name, Address, Tel, and Fax no. Regn. No. of the Auditor : K.G. SARAF
SARAF & ASSOCIATES
Practising Company Secretaries
423,Hind Rajasthan Bldg.
95, D.S.P. Road, Dadar (East),
Mumbai – 400014.
Tel.No.24130371/24153887
Membership No : F1596 : CP No.642.

RECONCILIATION OF SHARE CAPITAL AUDIT

25. Appointment of Common Agency for Share Registry Work : LINK INTIME INDIA PVT. LTD
C-101, 247 Park,
L.B.S. Marg, Vikhroli (W),
Mumbai – 400083.

26. Any other details that the Auditor may like to provide (e.g. BIFR Company, delisting from SE, Company changed its name etc.) :

Place : Mumbai

Date : 18/04/2023

UDIN : F001596E000129878

For SARAF & ASSOCIATES

KAMALAX
GANAPAYYA SARAF
Digitally signed by KAMALAX
GANAPAYYA SARAF
Date: 2023.04.18 14:27:01
+05'30'

K.G. SARAF
Practising Company Secretary
FCS: 1596 CP: 642
FRN. S1988MH004800
PR. 1003/2020