

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

(other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L24200MH1947PLC006104

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACH2914F

(ii) (a) Name of the company

NOVARTIS INDIA LIMITED

(b) Registered office address

Inspire - BKC, Part of 601 and 701,
Bandra Kurla Complex, Bandra East,
Mumbai
Mumbai City
Maharashtra
400051



(c) *e-mail ID of the company

india.investors@novartis.com

(d) *Telephone number with STD code

02250243000

(e) Website

www.novartis.in

(iii) Date of Incorporation

13/12/1947

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2021 (DD/MM/YYYY) To date 31/03/2022 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☐ Yes ☒ No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2022

(c) Whether any extension for AGM granted

☐ Yes ☒ No

(f) Specify the reasons for not holding the same

This is a draft of Annual Return. AGM will be held on July 29, 2022 for the financial year 2021-22.

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	G	Trade	G1	Wholesale Trading	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Novartis AG		Holding	70.68

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	64,000,000	24,690,797	24,690,797	24,690,797
Total amount of equity shares (in Rupees)	320,000,000	123,453,985	123,453,985	123,453,985

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	64,000,000	24,690,797	24,690,797	24,690,797
Nominal value per share (in rupees)	5	5	5	5
Total amount of equity shares (in rupees)	320,000,000	123,453,985	123,453,985	123,453,985

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	410,097	24,280,700	24690797	123,453,985	123,453,985	
Increase during the year	0	44,490	44490	0	0	0
i. Pubic Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify Dematerialization		44,490	44490			
Decrease during the year	44,490	0	44490	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify Dematerialization	44,490		44490			
At the end of the year	365,607	24,325,190	24690797	123,453,985	123,453,985	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0

iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE234A01025

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☒ No ☐ Not Applicable

Separate sheet attached for details of transfers

☒ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting

Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

(iv) *Debtentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debtentures	0	0	0
Partly convertible debtentures	0	0	0
Fully convertible debtentures	0	0	0
Total			0

Details of debtentures

Class of debtentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debtentures	0	0	0	0
Partly convertible debtentures	0	0	0	0
Fully convertible debtentures	0	0	0	0

(v) Securities (other than shares and debtentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

3,998,728,869.51

(ii) Net worth of the Company

6,917,053,985

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	17,450,680	70.68	0	
10.	Others	0	0	0	
	Total	17,450,680	70.68	0	0

Total number of shareholders (promoters)

1

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	5,958,050	24.13	0	
	(ii) Non-resident Indian (NRI)	247,318	1	0	
	(iii) Foreign national (other than NRI)	54	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	188,833	0.76	0	

4.	Banks	0	0	0	
5.	Financial institutions	2,686	0.01	0	
6.	Foreign institutional investors	28,534	0.12	0	
7.	Mutual funds	760	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	571,587	2.31	0	
10.	Others NBFC, IEPF, Trust, Clearing r +	242,295	0.98	0	
	Total	7,240,117	29.31	0	0

Total number of shareholders (other than promoters)

44,370

**Total number of shareholders (Promoters+Public/
Other than promoters)**

44,371

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

2

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
INVESCO ASSET MANA +	HSBC SECURITIES SERVICES 11TH F +			42	
INVESCO ASSET MANA +	HSBC SECURITIES SERVICES 11TH F +			56	

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	1	1
Members (other than promoters)	41,571	44,370
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	1	0	0	0	0

B. Non-Promoter	1	4	1	5	0	0
(i) Non-Independent	1	1	1	2	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	5	1	5	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

6

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Christopher Snook	00369790	Director	0	
Sanjay Murdeshwar	01481811	Managing Director	0	
Monaz Noble	03086192	Director	0	
Sandra Martyres	00798406	Director	0	
Jai Hiremath	00062203	Director	0	
Sanker Parmeswaran	00008187	Director	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Trivikram Guda	AIZPG7906Q	Company Secretar	30/11/2021	Cessation
Felix Arokiadoss	AALPD0614L	CFO	31/12/2021	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	27/08/2021	46,716	82	

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	15/04/2021	6	6	100
2	17/06/2021	6	6	100
3	11/08/2021	6	6	100
4	11/11/2021	6	6	100
5	10/02/2022	6	5	83.33
6	11/02/2022	6	5	83.33

C. COMMITTEE MEETINGS

Number of meetings held

14

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	17/06/2021	4	4	100
2	Audit Committee	11/08/2021	4	4	100
3	Audit Committee	11/11/2021	4	4	100
4	Audit Committee	10/02/2022	4	3	75
5	Nomination Re	17/06/2021	3	3	100
6	Corporate Soc	17/06/2021	3	3	100
7	Corporate Soc	11/11/2021	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
8	Stakeholder R	17/06/2021	4	4	100
9	Stakeholder R	11/08/2021	4	4	100
10	Stakeholder R	11/11/2021	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
								(Y/N/NA)
1	Christopher Sr	6	6	100	9	9	100	
2	Sanjay Murdes	6	6	100	6	6	100	
3	Monaz Noble	6	6	100	9	9	100	
4	Sandra Martyr	6	6	100	7	7	100	
5	Jai Hiremath	6	4	66.67	8	6	75	
6	Sanker Parme	6	6	100	11	11	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sanjay Murdeshwar	Managing Direct	7,200,000	0	0	0	7,200,000
	Total		7,200,000	0	0	0	7,200,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Trivikram Guda	Company secret	5,284,043	0	0	0	5,284,043
2	Felix Doss	CFO	9,795,826	0	0	2,401,858	12,197,684

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
	Total		15,079,869	0	0	2,401,858	17,481,727

Number of other directors whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Jai Hiremath	Independent Dir	0	1,000,000	0	350,000	1,350,000
2	Sandra Martyres	Independent Dir	0	1,000,000	0	500,000	1,500,000
3	Sanker Parameswa	Independent Dir	0	1,000,000	0	500,000	1,500,000
	Total		0	3,000,000	0	1,350,000	4,350,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☐ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Kamalax Saraf

Whether associate or fellow

☐

Associate

☒

Fellow

Certificate of practice number

642

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ..

dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

01481811

To be digitally signed by

☒ Company Secretary

☐ Company secretary in practice

Membership number

20869

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach**Attach****Attach****Attach****List of attachments****Remove attachment**

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

NOVARTIS INDIA LIMITED
FINANCIAL YEAR 2021-22
ATTACHMENTS TO DRAFT E-FORM MGT-7

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS					
C. COMMITTEE MEETINGS - CONTINUED					
S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Number of members attended	% of attendance
11	Stakeholder Relationship Committee	10/02/2022	4	4	100
12	Risk Management Committee	11/08/2021	3	3	100
13	Risk Management Committee	11/11/2021	3	3	100
14	Risk Management Committee	10/02/2022	3	2	66.67

Note: This e-form MGT-7 (draft) along with form MGT-8 (draft) and Annexure is placed on the website of the Company in compliance of the Companies (Amendment) Act, 2017, effective August 28, 2020. The e-form MGT-7 shall be filed with Ministry of Corporate Affairs upon the completion of forthcoming Annual General Meeting of the Company as required under Section 92 of the Companies Act, 2013, and the Rules made thereunder and the copy of the same shall be furnished on the website of the Company.

Novartis India Ltd								
Details of shares/debentures transfers from				01/04/2021	To	31/03/2022	Closure Date :	31-03-2021
TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME		FOLIO	BUYER NAME
07/04/2021	1	80	000005	02100801	HARBANS LAL GOOMBER		ND000001	NATIONAL SECURITY DE
15/04/2021	1	210	000005	02313006	SURYAKUMARI VUSIRIKA		ND000001	NATIONAL SECURITY DE
15/04/2021	1	90	000005	02065941	D A GAYATHRI		ND000001	NATIONAL SECURITY DE
15/04/2021	1	2	000005	0S001781	SURYAKUMARI VUSIRIKA		ND000001	NATIONAL SECURITY DE
15/04/2021	1	90	000005	S0S00054	SHREYAS SHANTILAL SH		ND000001	NATIONAL SECURITY DE
15/04/2021	1	190	000005	02382164	V ALEXANDER TITUS		V0S00013	V ALEXANDER TITUS
16/04/2021	1	4	000005	0A000627	ARUN M NARSINGHANI		ND000001	NATIONAL SECURITY DE
16/04/2021	1	30	000005	D0S00019	DEEPAK DHIRAJLAL DAV		ND000001	NATIONAL SECURITY DE
26/04/2021	1	750	000005	03092959	T N ANASUYA DEVI		ND000001	NATIONAL SECURITY DE
30/04/2021	1	20	000005	01117343	PRAHATKUMAR RAMCHAND		ND000001	NATIONAL SECURITY DE
30/04/2021	1	70	000005	02336359	SATYA WATI		ND000001	NATIONAL SECURITY DE
03/05/2021	1	20	000005	01125672	RAMESH SONPAL		ND000001	NATIONAL SECURITY DE
03/05/2021	1	32	000005	01150421	SARLA R SONPAL		ND000001	NATIONAL SECURITY DE
05/05/2021	1	100	000005	02323141	SAROJA RANGANATHAN		ND000001	NATIONAL SECURITY DE
05/05/2021	1	16	000005	01015052	SITA BHATIA		V0S00014	S VIVEK BHATIA
10/05/2021	1	62	000005	01029703	BHANUBHAI CHINUBHAI		N0S00029	NARENBHAI BHANUPRASA
10/05/2021	1	88	000005	01042475	NIMAI CHARAN GHOSE		ND000001	NATIONAL SECURITY DE
10/05/2021	1	48	000005	01084003	PARAG NATWARLAL MEHT		ND000001	NATIONAL SECURITY DE
10/05/2021	1	2	000005	0N000493	NIRMALA MEHTA		ND000001	NATIONAL SECURITY DE
10/05/2021	1	90	000005	02207761	NIRMALA MEHTA		ND000001	NATIONAL SECURITY DE
10/05/2021	1	10	000005	02074222	DAYANAND SUREKA		S0S00079	SAMPATI SUREKA
07/06/2021	1	30	000005	02213184	NALINI PRAVINCHANDRA		N0S00030	NALINI PRAVINCHANDRA
08/06/2021	1	1	000005	03089903	SHANKER SINGAL		ND000001	NATIONAL SECURITY DE
14/06/2021	1	62	000005	N0S00029	NARENBHAI BHANUPRASA		CD000001	CENTRAL DEPOSITORY S
16/06/2021	1	2	000005	0S000111	S J BANSAL KARTA		S0S00080	S J BANSAL KARTA
18/06/2021	1	90	000005	S0S00029	SHEKHAR NARHAR NIMBA		ND000001	NATIONAL SECURITY DE
28/06/2021	1	50	000005	03094221	JADAVIBAI SHANTILAL		ND000001	NATIONAL SECURITY DE
28/06/2021	1	104	000005	01026429	ANJU CAPRIHAN		ND000001	NATIONAL SECURITY DE
05/07/2021	1	44	000005	01045385	CHANDRAKANT GANDHI		CD000001	CENTRAL DEPOSITORY S
05/07/2021	1	30	000005	02325021	SHIREN SUMANKUMAR CH		ND000001	NATIONAL SECURITY DE
05/07/2021	1	30	000005	03088031	DIPTI SHIRIN CHOKSHI		ND000001	NATIONAL SECURITY DE
08/07/2021	1	40	000005	01170121	VARSHA VASANT BAL		N0S00031	NITIN VASNAT BAL
09/07/2021	1	16	000005	H0S00014	HSBC AGENCY INDIA PR		ND000001	NATIONAL SECURITY DE
12/07/2021	1	120	000005	M0S00033	MANINDER KAUR MARWAH		ND000001	NATIONAL SECURITY DE
21/07/2021	1	20	000005	02155061	KRISHNA KUMAR SURANA		CD000001	CENTRAL DEPOSITORY S
23/07/2021	1	90	000005	N0S00028	NIRMALA GAURISHANKAR		CD000001	CENTRAL DEPOSITORY S
26/07/2021	1	140	000005	02163853	LATA BYAS		ND000001	NATIONAL SECURITY DE
27/07/2021	1	2	000005	01146572	ROHIT SURANA		CD000001	CENTRAL DEPOSITORY S
29/07/2021	1	10	000005	01144154	SANDEEP KUMAR SETT		ND000001	NATIONAL SECURITY DE
29/07/2021	1	10	000005	02345994	SANDEEP KUMAR SETT		ND000001	NATIONAL SECURITY DE
30/07/2021	1	90	000005	02241901	PR KM P CHETTIAR		K0S00033	K PERIAKARUPPAN
30/07/2021	1	120	000005	02242443	P R K M P CHETTIAR		K0S00033	K PERIAKARUPPAN
30/07/2021	1	14	000005	01023829	PR K M PCHETTIAR		K0S00033	K PERIAKARUPPAN
30/07/2021	1	2	000005	0A000160	AIYER S CHANDRASHEKA		M0S00034	MADHU CHANDRASHEKAR
02/08/2021	1	10	000005	02046733	BASANT RAJ DUDHERIA		CD000001	CENTRAL DEPOSITORY S
12/08/2021	1	4	000005	0Y000001	YASH PAL KHETARPAL		CD000001	CENTRAL DEPOSITORY S
12/08/2021	1	30	000005	02233983	PARMA NAND AGGARWAL		ND000001	NATIONAL SECURITY DE
12/08/2021	1	30	000005	02209764	NIRMAL AGGARWAL		ND000001	NATIONAL SECURITY DE
16/08/2021	1	50	000005	02032694	BALASAHEB RAGHUNATHR		CD000001	CENTRAL DEPOSITORY S
16/08/2021	1	2	000005	0S000492	SAROJ LODHA		ND000001	NATIONAL SECURITY DE
17/08/2021	1	70	000005	02331861	SUPRIYA KUMAR BASU		A0S00048	ANGELA BASU
17/08/2021	1	30	000005	02112795	INDULAL PRANLAL SHAH		C0S00019	CHETAN INDULAL SHAH
17/08/2021	1	90	000005	02083019	FRANCO FATIMA LUKE D		F0S00003	FRANCO FATIMA LUKE D
17/08/2021	1	4	000005	0N000297	NAVIN MALI		M0S00035	MINAXIBEN NAVINCHAND
17/08/2021	1	40	000005	N0S00031	NITIN VASANT BAL		ND000001	NATIONAL SECURITY DE
17/08/2021	1	22	000005	03094123	MANSUKH NARANDAS BHA		ND000001	NATIONAL SECURITY DE
18/08/2021	1	10	000005	01105639	ANIL BHAGWANLAL PAND		CD000001	CENTRAL DEPOSITORY S
23/08/2021	1	6	000005	0B000260	BHAJANLAL UTTANCHAND		CD000001	CENTRAL DEPOSITORY S
23/08/2021	1	108	000005	01142321	MEDEPALLI SRINATH		ND000001	NATIONAL SECURITY DE
23/08/2021	1	180	000005	02194376	MEDEPALLI SRINATH		ND000001	NATIONAL SECURITY DE
23/08/2021	1	2	000005	0M000639	MEDEPALLI SRINATH		ND000001	NATIONAL SECURITY DE
30/08/2021	1	2	000005	0N000338	NEELAM SARNA		ND000001	NATIONAL SECURITY DE
30/08/2021	1	92	000005	01100793	NARENDRA YESHWANT TA		ND000001	NATIONAL SECURITY DE
31/08/2021	1	40	000005	01116592	PRAMODINI BALKRISHNA		A0S00049	ANIL BALKRISHNA SAWA
01/09/2021	1	100	000005	02298465	SURESHCHANDRA RAMANL		CD000001	CENTRAL DEPOSITORY S
01/09/2021	1	10	000005	01002601	G K DEEPAK ARYA		K0S00034	KANTHI M S
01/09/2021	1	70	000005	02088851	G K DEEPAK ARYA		K0S00034	KANTHI M S
02/09/2021	1	120	000005	02136953	KIRAN LAXMIDAS SAVAN		ND000001	NATIONAL SECURITY DE
02/09/2021	1	2	000005	0K000528	KIRAN LAXMIDAS SAVAN		ND000001	NATIONAL SECURITY DE
09/09/2021	1	40	000005	02212307	NAND GANGWANI		CD000001	CENTRAL DEPOSITORY S
09/09/2021	1	90	000005	02111195	ISHWAR THARANEY		ND000001	NATIONAL SECURITY DE
13/09/2021	1	16	000005	V0S00014	VIVEK S BHATIA		CD000001	S CENTRAL DEPOSITORY S
13/09/2021	1	206	000005	01141864	NILKANTH SITARAM SAW		N0S00032	NANDINI NILKANTH SAW
14/09/2021	1	120	000005	02259711	RAJAN VASUDEO SAKHAR		CD000001	CENTRAL DEPOSITORY S
14/09/2021	1	2	000005	M0S00034	MADHU CHANDRASHEKAR		ND000001	NATIONAL SECURITY DE
14/09/2021	1	50	000005	02248786	PARASHURAM JANARDAN		ND000001	NATIONAL SECURITY DE
17/09/2021	1	120	000005	02236842	PRADEEP LAXMINARAYAN		CD000001	CENTRAL DEPOSITORY S
17/09/2021	1	64	000005	01067087	DIVAKAR NARAYAN KANC		ND000001	NATIONAL SECURITY DE
20/09/2021	1	120	000005	02111268	IRA MAJUMDAR		CD000001	CENTRAL DEPOSITORY S
23/09/2021	1	216	000005	T0S00004	TRILOKCHAND SURAJBHA		CD000001	CENTRAL DEPOSITORY S
27/09/2021	1	20	000005	02047322	BHARAT RUIA		B0S00019	BHARAT RUIA
27/09/2021	1	30	000005	01008226	ANTHONY FRANCIS RODR		ND000001	NATIONAL SECURITY DE
27/09/2021	1	33	000005	01038389	YAKSHA NIKUNJ DHULDH		Y0S00002	YAKSHA NIKUNJ DHULDH
29/09/2021	1	210	000005	02090937	GURMIT SINGH		ND000001	NATIONAL SECURITY DE
29/09/2021	1	20	000005	03076105	SHASHI TARA		ND000001	NATIONAL SECURITY DE
30/09/2021	1	224	000005	K0S00033	K PERIAKARUPPAN		ND000001	NATIONAL SECURITY DE
04/10/2021	1	4	000005	0P000335	POONAM		ND000001	NATIONAL SECURITY DE
05/10/2021	1	40	000005	02391147	XYSTUS RATO		CD000001	CENTRAL DEPOSITORY S
05/10/2021	1	150	000005	03093674	PALLAVI AMIDAS SANGH		ND000001	NATIONAL SECURITY DE
05/10/2021	1	210	000005	03093675	DAKSHA AMIDAS SANGHA		ND000001	NATIONAL SECURITY DE
06/10/2021	1	4	000005	0P000021	PRABHAT KUMAR K TRIV		ND000001	NATIONAL SECURITY DE
06/10/2021	1	10	000005	S0S00079	SAMPATI SUREKA		ND000001	NATIONAL SECURITY DE

Novartis India Ltd								
Details of shares/debentures transfers from								
			01/04/2021	To	31/03/2022	Closure Date :	31-03-2021	
TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME	
06/10/2021	1	270	000005	02234939	POONAM	ND000001	NATIONAL SECURITY DE	
07/10/2021	1	20	000005	02190273	MAHENDRA LAXMIDAS	ND000001	NATIONAL SECURITY DE	
07/10/2021	1	4	000005	01012878	RANJAN LAXMIDAS BHAT	ND000001	NATIONAL SECURITY DE	
07/10/2021	1	4	000005	01083643	MAHENDRA LAXMIDAS	ND000001	NATIONAL SECURITY DE	
11/10/2021	1	66	000005	03091137	SUMAN NARAYAN BHENDE	CD000001	CENTRAL DEPOSITORY S	
11/10/2021	1	90	000005	03090078	SHIRKE KUNDLIK DNYAN	K0500035	KUNDALIK DNYADEV RAJ	
11/10/2021	1	2	000005	0K000726	KUNDLIK DNYANOBA SHI	K0500036	KUNDALIK DNYADEV RAJ	
11/10/2021	1	6	000005	0A000839	ASHOK LACHMAN KIRPAL	ND000001	NATIONAL SECURITY DE	
11/10/2021	1	220	000005	02006740	ASHOK LACHMAN KIRPAL	ND000001	NATIONAL SECURITY DE	
13/10/2021	1	156	000005	A0500047	ANA JOAQUINA AZAVEDO	ND000001	NATIONAL SECURITY DE	
14/10/2021	1	17530	000005	02032201	ALOO BURJOR DEBOO	ND000001	NATIONAL SECURITY DE	
18/10/2021	1	33	000005	01050427	SANDIP KANTILAL GAND	CD000001	CENTRAL DEPOSITORY S	
18/10/2021	1	100	000005	02196867	MANISH KANTILAL GAND	CD000001	CENTRAL DEPOSITORY S	
18/10/2021	1	4	000005	0M000455	MANISH KANTILAL GAND	CD000001	CENTRAL DEPOSITORY S	
18/10/2021	1	30	000005	C0500019	CHETAN INDULAL SHAH	ND000001	NATIONAL SECURITY DE	
18/10/2021	1	2	000005	S0500080	S J BANSAL KARTA	ND000001	NATIONAL SECURITY DE	
18/10/2021	1	190	000005	V0500013	V ALEXANDER TITUS	ND000001	NATIONAL SECURITY DE	
19/10/2021	1	50	000005	02044200	B R KAMATH	H0500015	HRISHIKESH KAMATH	
19/10/2021	1	50	000005	02361990	THACHAT KESAVA RAMAN	M0500036	MRS PRASANNA ACHUTAN	
21/10/2021	1	120	000005	02128977	JAISHREE AMIDAS SANG	ND000001	NATIONAL SECURITY DE	
22/10/2021	1	120	000005	02231611	PRADEEPKUMAR J FATEH	CD000001	CENTRAL DEPOSITORY S	
22/10/2021	1	40	000005	03093987	RAJ KUMAR MOHATA	CD000001	CENTRAL DEPOSITORY S	
22/10/2021	1	140	000005	03010660	BETTY PIEDADE D'SOUZ	ND000001	NATIONAL SECURITY DE	
01/11/2021	1	6	000005	0S001036	SHOBHANA G GARE	ND000001	NATIONAL SECURITY DE	
01/11/2021	1	220	000005	02306565	SHOBHANA G GARE	ND000001	NATIONAL SECURITY DE	
01/11/2021	1	40	000005	02092654	GIAN KAUR	ND000001	NATIONAL SECURITY DE	
02/11/2021	1	10	000005	02247089	PREMJEET SINGH SIDHU	ND000001	NATIONAL SECURITY DE	
03/11/2021	1	120	000005	02306221	SUDHIR PANDURANJ BAD	CD000001	CENTRAL DEPOSITORY S	
09/11/2021	1	220	000005	02308789	SHAIL JAGDISH TAWAKL	ND000001	NATIONAL SECURITY DE	
09/11/2021	1	120	000005	02254263	RAMESHCHANDRA VED	ND000001	NATIONAL SECURITY DE	
09/11/2021	1	120	000005	K0500032	KISHORE PRABHAKAR SA	ND000001	NATIONAL SECURITY DE	
12/11/2021	1	40	000005	S0500008	SARVESH ARJUN RANE	CD000001	CENTRAL DEPOSITORY S	
15/11/2021	1	4	000005	0L000089	LATA CHIMANRAO BHAGW	J0500016	JYOTI SHASHIKANT BHA	
15/11/2021	1	190	000005	02162598	LATA CHIMANRAO BHAGW	J0500016	JYOTI SHASHIKANT BHA	
15/11/2021	1	180	000005	02137755	KALPANA SAILESH DOCT	ND000001	NATIONAL SECURITY DE	
16/11/2021	1	10	000005	02160889	LAKSHMI KRISHNAN	ND000001	NATIONAL SECURITY DE	
16/11/2021	1	50	000005	02188473	MANOHAR LAL BANSAL	R0500022	RAMESH CHAND BANSAL	
16/11/2021	1	90	000005	02265494	RAJENDRA PRASAD GARG	S0500081	SANDEEP GARG	
16/11/2021	1	6	000005	0R000287	RAJENDRA PRASAD GARG	S0500081	SANDEEP GARG	
17/11/2021	1	40	000005	01063189	JYOTI KHEDEKAR	ND000001	NATIONAL SECURITY DE	
17/11/2021	1	10	000005	01063324	JYOTI RAJANIKANT KHE	ND000001	NATIONAL SECURITY DE	
22/11/2021	1	86	000005	01070410	GULSHAN RAI KATARIA	ND000001	NATIONAL SECURITY DE	
22/11/2021	1	50	000005	02200651	MOSES FERNANDES	ND000001	NATIONAL SECURITY DE	
23/11/2021	1	90	000005	02009366	AVANI RAVAL	ND000001	NATIONAL SECURITY DE	
25/11/2021	1	390	000005	03010929	DARA KAIKHUSROO HATH	S0500082	SAM KAIKHUSROO HATHI	
25/11/2021	1	482	000005	03010961	ERACHSHAW KAIKHUSROO	S0500082	SAM KAIKHUSROO HATHI	
29/11/2021	1	1	000005	03038416	S P S GAUTAM	IEPF2017	IEPF2017	
29/11/2021	1	11	000005	03057879	VISHAL A KHARA	IEPF2017	IEPF2017	
29/11/2021	1	1	000005	03073696	SOMNATH SARKAR	IEPF2017	IEPF2017	
29/11/2021	1	10	000005	03089678	BHASKAR CHATTERJEE	IEPF2017	IEPF2017	
29/11/2021	1	120	000005	03089738	MANISH C SOLANKI	IEPF2017	IEPF2017	
29/11/2021	1	60	000005	03086020	PARAS DEVI JAIN	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	03087280	PRAMILA DHIRAJLAL PA	IEPF2017	IEPF2017	
29/11/2021	1	50	000005	02348756	SATISH KRISHNADAS	IEPF2017	IEPF2017	
29/11/2021	1	90	000005	02351137	SHARAD AGARWAL	IEPF2017	IEPF2017	
29/11/2021	1	70	000005	02379031	VASUDEV GOPINATH PIL	IEPF2017	IEPF2017	
29/11/2021	1	80	000005	02381222	VIJAY LAXMI GUPTA	IEPF2017	IEPF2017	
29/11/2021	1	40	000005	02364832	USHA CHAUHAN	IEPF2017	IEPF2017	
29/11/2021	1	90	000005	02371227	VIMA CHAMPAKAL KHAT	IEPF2017	IEPF2017	
29/11/2021	1	10	000005	02371464	VISHVAMBHARI TRIVEDI	IEPF2017	IEPF2017	
29/11/2021	1	20	000005	02372240	VENKATA KRISHNAVENI	IEPF2017	IEPF2017	
29/11/2021	1	70	000005	02372266	VENKATESHWARLU GUMUD	IEPF2017	IEPF2017	
29/11/2021	1	30	000005	02374901	VAISHALI PANDIT	IEPF2017	IEPF2017	
29/11/2021	1	90	000005	02377055	VENKATESHWARARAO BAY	IEPF2017	IEPF2017	
29/11/2021	1	100	000005	02377942	VED PRAKASH SABHARWA	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0A000034	ANURAG BHARGAVA	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0A000054	ASHOK NAIK	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0A000061	AUDHUT DATTA KANDOLK	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0A000069	A G NAGARAJ	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0A000142	ADIL KEKI TALATI	IEPF2017	IEPF2017	
29/11/2021	1	146	000005	03093850	JYOTSNA PRABHA KOHLI	IEPF2017	IEPF2017	
29/11/2021	1	8	000005	03093090	SARITA R VELINGKAR	IEPF2017	IEPF2017	
29/11/2021	1	90	000005	03092012	DURLABHJI DEVJI MODH	IEPF2017	IEPF2017	
29/11/2021	1	70	000005	03092371	BHARJIT SINGH	IEPF2017	IEPF2017	
29/11/2021	1	78	000005	03092777	BHAVANI AMMA	IEPF2017	IEPF2017	
29/11/2021	1	4	000005	03092944	JOSEPH NASREEN MONTE	IEPF2017	IEPF2017	
29/11/2021	1	4	000005	0A000952	AVINASH SWARAN LAL K	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0B000271	BHANU PRATAP SINGH	IEPF2017	IEPF2017	
29/11/2021	1	6	000005	0B000291	BHARAT KESHAVLAL REL	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0A000333	AMUL R NAIK	IEPF2017	IEPF2017	
29/11/2021	1	6	000005	0A000678	ARVIND KUMAR JAIN	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0A000743	ASHA SHREECHAND NARA	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0R000341	RAJESH SHARMA	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0R000654	RAMNATH PADMANABH RA	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0R000917	ROHINI DIGHE	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0S000015	SANJEEV GULATI	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0S000022	SAPAN ROY	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0S000033	SHARAD SHRIPAD GAONK	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0S000036	SHASHANK J. MAHALE	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0P000377	PRABHAKAR RAMKRISHNA	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0P000246	PARVEEN SETH	IEPF2017	IEPF2017	
29/11/2021	1	2	000005	0P000254	PATEL DHULABHAI SHAN	IEPF2017	IEPF2017	

Novartis India Ltd								
Details of shares/debentures transfers from				01/04/2021	To	31/03/2022	Closure Date :	31-03-2021
TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME		FOLIO	BUYER NAME
29/11/2021	1	20	000005	0R000021	RAKESH SHARMA		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0R000157	RAGINA SHAH		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0R000282	RAJENDRA PAL JAGOTTA		IEPF2017	IEPF2017
29/11/2021	1	8	000005	0P000022	PRABIR ROY CHOUDHURY		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0P000029	PRAG GOEL		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0O000026	OM PRAKASH SUREKA		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0O000030	OMKAR CHOKSHI		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0P000019	PRABHAKAR GAJANAN NA		IEPF2017	IEPF2017
29/11/2021	1	20	000005	0N000368	NEHA GUPTA		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0N000542	NITA BHARGAVA		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0M000026	MALINI DIXIT		IEPF2017	IEPF2017
29/11/2021	1	4	000005	0M000071	M G MATHEW		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0M000380	MAMTA PADMAKANT AMIN		IEPF2017	IEPF2017
29/11/2021	1	6	000005	0M000561	MANOJ L ALIMCHANDANI		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0M000585	MANUBHAI SOMESHWAR D		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0K000333	KANKUDEVI BATWAL		IEPF2017	IEPF2017
29/11/2021	1	4	000005	0K000397	KARTAR SINGH SINGHOT		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0D000153	DEABABRATA DUTTA		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0D000189	DEEPAK RAO		IEPF2017	IEPF2017
29/11/2021	1	4	000005	0B000001	B. ANTHONY DANIEL		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0B000065	BABU LAL GUPTA		IEPF2017	IEPF2017
29/11/2021	1	4	000005	0B000236	BHAGWAN DASS MOHATTA		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0G000012	GIRISH NANABHAI MHAT		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0H000036	HAMEED JAFFREY		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0H000298	HIRA DEVI		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0I000060	INDRA DEVI		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0J000018	J L GUGNANI		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0J000167	JATIN OZA		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0U000051	UMA RAMANATHAN		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0U000068	UMESH KUMAR GARG		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0T000006	TARUN PAHWA		IEPF2017	IEPF2017
29/11/2021	1	4	000005	0T000014	TUSAR KANTA PATTANAY		IEPF2017	IEPF2017
29/11/2021	1	10	000005	0T000042	T V ANTONY		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0S001464	SUKDEB MUKHOPADHYAY		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0S001701	SURESH CHANDRA AGRAW		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0S001838	SUSHILKUMAR BINANI		IEPF2017	IEPF2017
29/11/2021	1	4	000005	0S000640	SAWRAJ RANI		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0S000652	SEEMA RANI		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0S000717	SHAILESH S NAGARSENK		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0S000773	SHANKAR KOLAMBKAR		IEPF2017	IEPF2017
29/11/2021	1	4	000005	0S000996	SHIV KUMAR AGRAWAL		IEPF2017	IEPF2017
29/11/2021	1	6	000005	0S000516	SAROJRANI SANGHI		IEPF2017	IEPF2017
29/11/2021	1	4	000005	0S000230	SAMBHUKUMAR KUNDU		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0S000306	SANJAY AGARWAL		IEPF2017	IEPF2017
29/11/2021	1	4	000005	0S000383	SANTOSH BALA KUMAR		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0S000487	SAROJ JALAN		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0S000488	SAROJ KUMAR DE		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0V000213	VEENA AGGARWAL		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0V000407	VIMAL VASANTRAO PATI		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0Y000053	YOGESH KUMAR GOEL		IEPF2017	IEPF2017
29/11/2021	1	2	000005	0Z000027	ZUBEDA MOSINALI BHAR		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02013801	ABDUL HAMID MULLA		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02032503	BALU LOKARE		IEPF2017	IEPF2017
29/11/2021	1	210	000005	02032945	BABU LAL GUPTA		IEPF2017	IEPF2017
29/11/2021	1	180	000005	02035383	BHARTI SAILESH CHAUH		IEPF2017	IEPF2017
29/11/2021	1	20	000005	02045222	BHARATIBEN VALLABHBH		IEPF2017	IEPF2017
29/11/2021	1	50	000005	02045273	BHAGWAN DASS MOHATTA		IEPF2017	IEPF2017
29/11/2021	1	60	000005	02037017	BIPINCHANDRA GOKHALE		IEPF2017	IEPF2017
29/11/2021	1	180	000005	02038579	BASANTI DEVI JAISWAL		IEPF2017	IEPF2017
29/11/2021	1	120	000005	02098113	HITENDRA BUDHISAGAR		IEPF2017	IEPF2017
29/11/2021	1	40	000005	02099497	HARSHAD KUMAR PARIKH		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02088690	GOBIND RAM AGARWAL		IEPF2017	IEPF2017
29/11/2021	1	40	000005	02077612	D RAJENDRA KUMAR JAI		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02080133	DAMODAR DAS MOHTA		IEPF2017	IEPF2017
29/11/2021	1	60	000005	02074249	DELCIE PEREIRA		IEPF2017	IEPF2017
29/11/2021	1	100	000005	02049848	BRIJ RANI DEVI		IEPF2017	IEPF2017
29/11/2021	1	180	000005	02041448	BANKIM TAMBEDIA		IEPF2017	IEPF2017
29/11/2021	1	100	000005	02058413	CHANDRA KANTA GUPTA		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02007584	AVINASH SWARAN LAL K		IEPF2017	IEPF2017
29/11/2021	1	56	000005	01151801	REKHA SHANKAR		IEPF2017	IEPF2017
29/11/2021	1	50	000005	02019019	APARNA PIPARAIYA		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02024934	ASHOK KUMAR JAIN		IEPF2017	IEPF2017
29/11/2021	1	50	000005	02025604	ASHA K MANSHARAMANI		IEPF2017	IEPF2017
29/11/2021	1	20	000005	02026465	A S CHANDRASEKHAR		IEPF2017	IEPF2017
29/11/2021	1	120	000005	02029821	AMUL R NAIK		IEPF2017	IEPF2017
29/11/2021	1	150	000005	02000539	AGNELO BRAGANZA		IEPF2017	IEPF2017
29/11/2021	1	30	000005	02001144	ANIL JAIN		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02001314	ASHOK KUMAR MOHANTY		IEPF2017	IEPF2017
29/11/2021	1	200	000005	01159917	SHAHRNAZ NERIOSANG D		IEPF2017	IEPF2017
29/11/2021	1	19	000005	01162438	P S THARUN		IEPF2017	IEPF2017
29/11/2021	1	50	000005	01163183	T K THIAGARAJAN		IEPF2017	IEPF2017
29/11/2021	1	50	000005	01166841	AALOK VEDVYAS		IEPF2017	IEPF2017
29/11/2021	1	66	000005	01142259	MAHESH MATHURADAS SA		IEPF2017	IEPF2017
29/11/2021	1	74	000005	01128957	WALI AHMAD SIDDIQUI		IEPF2017	IEPF2017
29/11/2021	1	64	000005	01133519	SARLA SRIVASTAVA		IEPF2017	IEPF2017
29/11/2021	1	42	000005	01133659	JHAMATMAL GHANOOMAL		IEPF2017	IEPF2017
29/11/2021	1	6	000005	01141236	MOHAMMED SOHAIL		IEPF2017	IEPF2017
29/11/2021	1	206	000005	01141511	NAYANA MANGESH SANZG		IEPF2017	IEPF2017
29/11/2021	1	9	000005	01144529	RAJENDRA KUMAR N SET		IEPF2017	IEPF2017
29/11/2021	1	146	000005	01153811	SUDESH MALHOTRA		IEPF2017	IEPF2017
29/11/2021	1	20	000005	01071491	SUMAN KEDIA		IEPF2017	IEPF2017
29/11/2021	1	1	000005	01071599	URMILA DEVI KHEMKA		IEPF2017	IEPF2017

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Details of shares/debentures transfers from				01/04/2021	To	31/03/2022	Closure Date :	31-03-2021
TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME		FOLIO	BUYER NAME
29/11/2021	1	33	000005	01072137	KHATIJA M KUNJAWALA		IEPF2017	IEPF2017
29/11/2021	1	26	000005	01101293	N SANDHYA RAMAMURTHY		IEPF2017	IEPF2017
29/11/2021	1	2	000005	01102249	P R PADMANABAN		IEPF2017	IEPF2017
29/11/2021	1	146	000005	01102541	GANGA SAHAI PANDEY		IEPF2017	IEPF2017
29/11/2021	1	146	000005	01102737	CHERUVITIL KANDIYIL		IEPF2017	IEPF2017
29/11/2021	1	118	000005	01104039	SUDHARSHAN RANI PURI		IEPF2017	IEPF2017
29/11/2021	1	6	000005	01118617	BHAGWANDAS TRIBHOVAN		IEPF2017	IEPF2017
29/11/2021	1	33	000005	01123955	SUNANDA M REGE		IEPF2017	IEPF2017
29/11/2021	1	10	000005	01106198	NAROTTAM GHANASHYAM		IEPF2017	IEPF2017
29/11/2021	1	8	000005	01110365	SUNIL HASMUKHBHAI PA		IEPF2017	IEPF2017
29/11/2021	1	1	000005	01111931	VAISHALI DARSHAN PAR		IEPF2017	IEPF2017
29/11/2021	1	100	000005	01064550	BRIJMOHAN KOHLI		IEPF2017	IEPF2017
29/11/2021	1	10	000005	01067206	VENKATA RATNA KUMARI		IEPF2017	IEPF2017
29/11/2021	1	14	000005	01056191	MANUBHAI CHAMANLAL J		IEPF2017	IEPF2017
29/11/2021	1	6	000005	01059149	ASHOK KUMAR JAIN		IEPF2017	IEPF2017
29/11/2021	1	13	000005	01059432	RAMNIK CHAMANLAL JAV		IEPF2017	IEPF2017
29/11/2021	1	2	000005	01084101	YASMINE RATAN MAMA		IEPF2017	IEPF2017
29/11/2021	1	4	000005	01084399	RAMESH PANDHARINATH		IEPF2017	IEPF2017
29/11/2021	1	6	000005	01086219	ARVIND MOHAN		IEPF2017	IEPF2017
29/11/2021	1	6	000005	01089412	ASHOK SHASHIKANT MEH		IEPF2017	IEPF2017
29/11/2021	1	40	000005	01094424	BANOO PESTONJI MUGAS		IEPF2017	IEPF2017
29/11/2021	1	20	000005	01095811	MRUNMAI CHARUDUTTA B		IEPF2017	IEPF2017
29/11/2021	1	10	000005	01096231	M V RAJAMONEY		IEPF2017	IEPF2017
29/11/2021	1	50	000005	01098471	AVDHESH NARAIN		IEPF2017	IEPF2017
29/11/2021	1	100	000005	01099825	SUMITRA NAIR		IEPF2017	IEPF2017
29/11/2021	1	66	000005	01099841	SASIKUMAR G NAIR		IEPF2017	IEPF2017
29/11/2021	1	33	000005	01100106	JAIRAM NAVLANI		IEPF2017	IEPF2017
29/11/2021	1	13	000005	01050931	GEETANJALI SHRIKANT		IEPF2017	IEPF2017
29/11/2021	1	2	000005	01000403	P ALAMELU		IEPF2017	IEPF2017
29/11/2021	1	20	000005	01045067	RENU GUPTA		IEPF2017	IEPF2017
29/11/2021	1	3	000005	01045644	GEETA RANI AGARWAL		IEPF2017	IEPF2017
29/11/2021	1	6	000005	01046047	KAMLESH GUPTA		IEPF2017	IEPF2017
29/11/2021	1	1	000005	01047841	HARISH KUMAR GARG		IEPF2017	IEPF2017
29/11/2021	1	10	000005	01048694	SUDEEP KUMAR GOYAL		IEPF2017	IEPF2017
29/11/2021	1	6	000005	01040413	DILIP KUMAR SAHASRAB		IEPF2017	IEPF2017
29/11/2021	1	112	000005	01028359	SUBODH KUMAR DAS		IEPF2017	IEPF2017
29/11/2021	1	72	000005	01029401	VAIDEHI RAMCHANDRA D		IEPF2017	IEPF2017
29/11/2021	1	33	000005	01004948	SHRUTEJ JAYRAMBHAI A		IEPF2017	IEPF2017
29/11/2021	1	33	000005	01004956	CHANJAY JAYRAMBHAI A		IEPF2017	IEPF2017
29/11/2021	1	24	000005	01024094	SURESH CHANDRA		IEPF2017	IEPF2017
29/11/2021	1	72	000005	01027549	CHERUVEETIL KANDIYIL		IEPF2017	IEPF2017
29/11/2021	1	1	000005	01016288	MEHROO PESI BALA		IEPF2017	IEPF2017
29/11/2021	1	5	000005	01018281	MEHROO PIRI BALA		IEPF2017	IEPF2017
29/11/2021	1	60	000005	01021109	BISWESWARI BASU		IEPF2017	IEPF2017
29/11/2021	1	20	000005	01021222	BHARTI BABI MOCHEMAD		IEPF2017	IEPF2017
29/11/2021	1	16	000005	01021419	BHUPENDRASINH JADEJA		IEPF2017	IEPF2017
29/11/2021	1	72	000005	01021877	M CHITRAMBALATHARASI		IEPF2017	IEPF2017
29/11/2021	1	72	000005	01021931	SUSHIL RANJAN CHOUDH		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02203839	NEELAM TOPRANI		IEPF2017	IEPF2017
29/11/2021	1	190	000005	02209586	NALINI VAZIRANI		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02210827	NARESH KUMAR SHARMA		IEPF2017	IEPF2017
29/11/2021	1	30	000005	02231654	PARVATI DEMBLA		IEPF2017	IEPF2017
29/11/2021	1	20	000005	02214547	NISHITH BHATTACHARYY		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02218429	NITIN WALA		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02221080	NIRMAL KUMAR		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02226405	POONAM ANAND		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02226961	PRITI ANOPCHAND KOTH		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02227321	PADMA DWARKADAS SONP		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02228084	PUKHRAJ SELOT		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02170159	MANOHAR SAJNANI		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02170744	MAHESH PRASAD KESARW		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02176599	MAHESWARI PURUSHOTHA		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02180642	MODHUMITA GHOSH		IEPF2017	IEPF2017
29/11/2021	1	120	000005	02181142	M K JAIN		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02182289	MANSUR T PETIWALLA		IEPF2017	IEPF2017
29/11/2021	1	50	000005	02161940	LILABAI THAKURDAS VA		IEPF2017	IEPF2017
29/11/2021	1	100	000005	02163870	LAL BAHADUR SINGH		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02163934	LAXMINARAYANA REDDY		IEPF2017	IEPF2017
29/11/2021	1	150	000005	02165929	LACHMANDAS HASSARAM		IEPF2017	IEPF2017
29/11/2021	1	30	000005	02138433	KAMAL KUMAR ARORA		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02158175	KAVITA THAKKAR		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02142031	KUMUD BAKSHI		IEPF2017	IEPF2017
29/11/2021	1	180	000005	02143143	KARTAR SINGH SINGHOT		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02144557	KAMAL RAGHUNATH DAND		IEPF2017	IEPF2017
29/11/2021	1	20	000005	02144638	KANTI DEVI		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02149354	KAMALABEN T DESAI		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02132613	KHUSHALBHAI PATEL		IEPF2017	IEPF2017
29/11/2021	1	130	000005	02133369	KANCHANLAL SOMALAL S		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02134756	KRISHNAMOHANA RAO PA		IEPF2017	IEPF2017
29/11/2021	1	20	000005	02135183	KAVITA RIJHWANI		IEPF2017	IEPF2017
29/11/2021	1	20	000005	02137208	KANAIYALAL HARILAL P		IEPF2017	IEPF2017
29/11/2021	1	40	000005	02122448	JANARDHAN RAO POTLAP		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02125412	JITENDRA RAJNIKANT K		IEPF2017	IEPF2017
29/11/2021	1	20	000005	02128080	JYOTSNA VINOD PANDYA		IEPF2017	IEPF2017
29/11/2021	1	20	000005	02111888	INDRANIL SEN		IEPF2017	IEPF2017
29/11/2021	1	30	000005	02071967	D S SADASIYAIAH		IEPF2017	IEPF2017
29/11/2021	1	100	000005	02116197	JEHMUL RATANJI KHAMB		IEPF2017	IEPF2017
29/11/2021	1	180	000005	02100886	HARJIT KAUR LAMBA		IEPF2017	IEPF2017
29/11/2021	1	180	000005	02100894	HARIJINDER SINGH LAMB		IEPF2017	IEPF2017
29/11/2021	1	20	000005	02105942	HANSA NARSINH TANK		IEPF2017	IEPF2017
29/11/2021	1	20	000005	02106787	HANSHA NARSINGH TANK		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02109999	IMTIAZ UNNISIA		IEPF2017	IEPF2017

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Details of shares/debentures transfers from				01/04/2021	To	31/03/2022	Closure Date :	31-03-2021
TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME		FOLIO	BUYER NAME
29/11/2021	1	20	000005	02110636	INDRAJIT SINGH		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02111055	INDRA DEVI		IEPF2017	IEPF2017
29/11/2021	1	30	000005	02266881	RAMESH CHANDRA		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02270196	R GURUSWAMY		IEPF2017	IEPF2017
29/11/2021	1	150	000005	02270421	RAJSHREE GUPTA		IEPF2017	IEPF2017
29/11/2021	1	150	000005	02270986	REBA BISWAS		IEPF2017	IEPF2017
29/11/2021	1	80	000005	02272393	RAJESH KAKAR		IEPF2017	IEPF2017
29/11/2021	1	50	000005	02249685	P K VISWANATHAN		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02298970	SAVITABEN GORDHANBHA		IEPF2017	IEPF2017
29/11/2021	1	80	000005	02304589	SEEMA SAKARKAR		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02305216	SATISH SASURKAR		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02289440	RAJANI PARSHURAM BOR		IEPF2017	IEPF2017
29/11/2021	1	180	000005	02292068	SANJIV CHANDRAKANT J		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02296829	SARITA SHANKAR SHIRS		IEPF2017	IEPF2017
29/11/2021	1	20	000005	02297019	SURESH CHANDRA KESAR		IEPF2017	IEPF2017
29/11/2021	1	40	000005	02254492	RUSSELL MARTIN DESOU		IEPF2017	IEPF2017
29/11/2021	1	170	000005	02254654	RAMNATH PADMANABH RA		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02255472	ROHIT INDRASHANKER J		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02256100	RITA ANOPCHAND KOTHA		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02256819	RAMESHWAR LALL MOHTA		IEPF2017	IEPF2017
29/11/2021	1	100	000005	02256983	RAJ KALI		IEPF2017	IEPF2017
29/11/2021	1	180	000005	02258552	ROHIT GUPTA		IEPF2017	IEPF2017
29/11/2021	1	20	000005	02236028	PUSHPADEVI AGARWALA		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02238462	P KRISHNAMURTHY		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02240157	PUSHPA RATHI		IEPF2017	IEPF2017
29/11/2021	1	60	000005	02245647	PARKASH KAKAR		IEPF2017	IEPF2017
29/11/2021	1	20	000005	02246503	PRAVEEN		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02311224	SURYAKANTA HARIVADAN		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02311411	SAROJ JALAN		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02306255	SURENDRA G GUJARATHI		IEPF2017	IEPF2017
29/11/2021	1	150	000005	02317915	SHERIAR DEMEHRI		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02315718	SUNDERLAL DESAI		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02315807	SALIL KUMAR BASAK		IEPF2017	IEPF2017
29/11/2021	1	20	000005	02332451	SANJAY AGARWAL		IEPF2017	IEPF2017
29/11/2021	1	70	000005	02333368	SANDIP DAYARAM THAKU		IEPF2017	IEPF2017
29/11/2021	1	30	000005	02346532	SANWAR MAL MANDHANIA		IEPF2017	IEPF2017
29/11/2021	1	90	000005	02325047	SUSHIL KUMAR BAJAJ		IEPF2017	IEPF2017
29/11/2021	1	30	000005	02326493	SATYENDRA PRASAD SIN		IEPF2017	IEPF2017
29/11/2021	1	130	000005	02330202	SHIRISH JANARADAN HA		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02330423	SHARADA SITARAM		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02321149	SELINA J CHAUBEY		IEPF2017	IEPF2017
29/11/2021	1	10	000005	02321483	SUMAN MALHOTRA		IEPF2017	IEPF2017
29/11/2021	1	72	000005	01062531	JYOTSNA K KARACHIWAL		ND000001	NATIONAL SECURITY DE
29/11/2021	1	12222	000005	IEPF2017	Investior Education A		ND000001	NSDL
30/11/2021	1	120	000005	02035855	BHANU JAMNADAS RANDE		ND000001	NATIONAL SECURITY DE
02/12/2021	1	100	000005	02378591	VISHWAS VIDWANS		ND000001	NATIONAL SECURITY DE
03/12/2021	1	110	000005	01029541	HRISHI KESH DUTT		P0500027	PRADIPI DUTTA
06/12/2021	1	6	000005	01058932	V P JOSE		CD000001	CENTRAL DEPOSITORY S
06/12/2021	1	122	000005	01053876	HARSHA K KARACHIWALL		ND000001	NATIONAL SECURITY DE
07/12/2021	1	2	000005	0R000253	RAJENDRA DOLVI		ND000001	NATIONAL SECURITY DE
08/12/2021	1	70	000005	02040786	BHUPENDRA KHIMCHAND		CD000001	CENTRAL DEPOSITORY S
09/12/2021	1	90	000005	02082748	FELIX FERNANDES		ND000001	NATIONAL SECURITY DE
09/12/2021	1	4	000005	0F000039	FELIX FERNANDES		ND000001	NATIONAL SECURITY DE
10/12/2021	1	40	000005	02316684	SRIKANTH PRABHU		S0500083	SHOBHA M
13/12/2021	1	50	000005	H0500015	HRISHIKESH KAMATH		CD000001	CENTRAL DEPOSITORY S
13/12/2021	1	2	000005	0D000478	DOLLY ERACHSHAW UDWA		D0500020	DOLLY ERACHSHAW UDWA
13/12/2021	1	100	000005	02162873	LILA BAN GAJJAR		J0500017	JAYESH KUMAR GAJJAR
14/12/2021	1	50	000005	H0500008	HEMANGINI AKHIL DADK		ND000001	NATIONAL SECURITY DE
15/12/2021	1	70	000005	02066106	DHAVAL J JANI		ND000001	NATIONAL SECURITY DE
21/12/2021	1	41	000005	01159429	MEGHANA MADHAV THAKU		CD000001	CENTRAL DEPOSITORY S
21/12/2021	1	10	000005	01159411	MADHAV ACHYUT THAKUR		ND000001	NATIONAL SECURITY DE
24/12/2021	1	50	000005	02348608	SHOBHA GUPTA		ND000001	NATIONAL SECURITY DE
24/12/2021	1	50	000005	R0500022	RAMESH CHAND BANSAL		ND000001	NATIONAL SECURITY DE
28/12/2021	1	90	000005	02118491	JAIKISHIN KIRPALAMI		A0500050	ANIL KRIPLANI
28/12/2021	1	940	000005	02188236	MALTI SHRIKRISHNA KU		CD000001	CENTRAL DEPOSITORY S
28/12/2021	1	100	000005	01044745	SURESHKUMAR GIRDHARI		ND000001	NATIONAL SECURITY DE
28/12/2021	1	54	000005	03094280	KUDPI SHIVADAS SHENO		ND000001	NATIONAL SECURITY DE
29/12/2021	1	100	000005	01159810	SHASHI TANKHA		ND000001	NATIONAL SECURITY DE
29/12/2021	1	130	000005	02364395	UDAY KORGAONKAR		ND000001	NATIONAL SECURITY DE
29/12/2021	1	4	000005	0U000017	UDAY KORGAONKAR		ND000001	NATIONAL SECURITY DE
31/12/2021	1	2	000005	0P000173	PANKAJ T SHAH		ND000001	NATIONAL SECURITY DE
31/12/2021	1	10	000005	02248506	PANKAJ T SHAH		ND000001	NATIONAL SECURITY DE
03/01/2022	1	10	000005	S0500058	SANJEEV GUPTA		ND000001	NATIONAL SECURITY DE
04/01/2022	1	120	000005	02260891	RAMAA VIJAY KULKARNI		ND000001	NATIONAL SECURITY DE
10/01/2022	1	102	000005	01029819	RAMNIKLAL CHHAGANLAL		CD000001	CENTRAL DEPOSITORY S
10/01/2022	1	110	000005	P0500027	PRADIP DUTTA		CD000001	CENTRAL DEPOSITORY S
10/01/2022	1	10	000005	02045834	BIMAL KUMAR MERTIA		ND000001	NATIONAL SECURITY DE
17/01/2022	1	298	000005	t0500003	TAPAN KUMAR BANERJEE		A0500051	ANUVA BANERJEE
17/01/2022	1	40	000005	02364522	USHA HARSHAD SAVANI		ND000001	NATIONAL SECURITY DE
17/01/2022	1	2	000005	0U000126	USHA HARSHAD SAVANI		ND000001	NATIONAL SECURITY DE
18/01/2022	1	96	000005	S0500081	SANDEEP GARG		ND000001	NATIONAL SECURITY DE
18/01/2022	1	6	000005	0D000306	DHIRENDRA TRIPATHI		ND000001	NATIONAL SECURITY DE
18/01/2022	1	78	000005	01067257	MEGHANA KAVDE		ND000001	NATIONAL SECURITY DE
18/01/2022	1	120	000005	02075920	DHIRENDRA TRIPATHI		ND000001	NATIONAL SECURITY DE
20/01/2022	1	40	000005	01028057	CECIL D'SOUZA		ND000001	NATIONAL SECURITY DE
24/01/2022	1	33	000005	01152114	VILASBEN DINESHKUMAR		ND000001	NATIONAL SECURITY DE
24/01/2022	1	90	000005	02115123	JAYAGAURI ANOPCHAND		ND000001	NATIONAL SECURITY DE
24/01/2022	1	40	000005	S0500083	SHOBHA M		ND000001	NATIONAL SECURITY DE
25/01/2022	1	90	000005	A0500050	ANIL KRIPLANI		ND000001	NATIONAL SECURITY DE
28/01/2022	1	6	000005	K0500030	K GEORGE MATHAI		CD000001	CENTRAL DEPOSITORY S
31/01/2022	1	2	000005	0K000526	KIRAN KUMAR ALUR SEE		CD000001	CENTRAL DEPOSITORY S
31/01/2022	1	130	000005	02139898	KIRAN KUMAR ALUR SEE		CD000001	CENTRAL DEPOSITORY S

Novartis India Ltd								
Details of shares/debentures transfers from								
			01/04/2021	To	31/03/2022	Closure Date :	31-03-2021	
TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME		FOLIO	BUYER NAME
31/01/2022	1	72	000005	01146653	VATSALA M SHENOI		M0500037	MANOHAR MANJUNATH SH
01/02/2022	1	130	000005	02262681	ROHINI CHANDRASHEKHA		ND000001	NATIONAL SECURITY DE
08/02/2022	1	90	000005	02034514	BHUPENDRA M DHABUWAL		CD000001	CENTRAL DEPOSITORY S
08/02/2022	1	2	000005	0A000277	AMARANARAYAN K N		ND000001	NATIONAL SECURITY DE
08/02/2022	1	4	000005	0S000152	S THENMOZHI		ND000001	NATIONAL SECURITY DE
08/02/2022	1	90	000005	02324032	S THENMOZHI		ND000001	NATIONAL SECURITY DE
08/02/2022	1	90	000005	02003147	AMARANARAYAN K N		ND000001	NATIONAL SECURITY DE
09/02/2022	1	90	000005	K0500035	KUNDALIK DNYANDEV RA		ND000001	NATIONAL SECURITY DE
09/02/2022	1	70	000005	02319110	SEEMA SHRIVASTAVA		ND000001	NATIONAL SECURITY DE
14/02/2022	1	156	000005	03091620	YASMIN KERSEY COOPER		ND000001	NATIONAL SECURITY DE
14/02/2022	1	156	000005	03091801	YASMIN KERSEY COOPER		ND000001	NATIONAL SECURITY DE
15/02/2022	1	4	000005	0P000563	PRATIMA P NAYAK		CD000001	CENTRAL DEPOSITORY S
15/02/2022	1	66	000005	01037111	DAYASHANKAR DUBEY		CD000001	CENTRAL DEPOSITORY S
18/02/2022	1	270	000005	02335174	SAWANT VIVEK JAIRAM		ND000001	NATIONAL SECURITY DE
18/02/2022	1	270	000005	02335301	SAWANT VIVEK JAIRAM		ND000001	NATIONAL SECURITY DE
22/02/2022	1	130	000005	02016311	ASHUTOSH KUNTINATH K		CD000001	CENTRAL DEPOSITORY S
24/02/2022	1	160	000005	02009277	ATIQUE AHMAD		ND000001	NATIONAL SECURITY DE
24/02/2022	1	50	000005	02277336	RAJIV KUMAR		ND000001	NATIONAL SECURITY DE
28/02/2022	1	52	000005	02383896	VIVEK VISHWAMBHAR PR		CD000001	CENTRAL DEPOSITORY S
28/02/2022	1	50	000005	02046202	BABULAL LAXMINARAYAN		CD000001	CENTRAL DEPOSITORY S
28/02/2022	1	20	000005	02048604	BABULAL LAXMINARAYAN		CD000001	CENTRAL DEPOSITORY S
28/02/2022	1	30	000005	02101955	HELEN MONTEIRO		ND000001	NATIONAL SECURITY DE
28/02/2022	1	4	000005	0H000226	HELEN MONTEIRO		ND000001	NATIONAL SECURITY DE
01/03/2022	1	10	000005	02196581	MANOHAR MULL JAIN		CD000001	CENTRAL DEPOSITORY S
03/03/2022	1	150	000005	02213681	NEELAKANTAN GOPALKRI		CD000001	CENTRAL DEPOSITORY S
07/03/2022	1	80	000005	02101254	HARDAYAL GUPTA		CD000001	CENTRAL DEPOSITORY S
07/03/2022	1	100	000005	01143930	SHIRISH SALVI		ND000001	NATIONAL SECURITY DE
07/03/2022	1	72	000005	M0500037	MANOHAR MANJUNATH SH		ND000001	NATIONAL SECURITY DE
11/03/2022	1	112	000005	0K000103	K SHANKARI		K0500037	K VEENA
16/03/2022	1	150	000005	02212773	I B NAGARATHNA		CD000001	CENTRAL DEPOSITORY S
16/03/2022	1	140	000005	02311089	SUDHIR SHANTARAM RAN		ND000001	NATIONAL SECURITY DE
21/03/2022	1	10	000005	02272610	RAVINDRA GOPINATH SA		ND000001	NATIONAL SECURITY DE
21/03/2022	1	30	000005	02003317	ANIL PRANLAL CHHATAB		ND000001	NATIONAL SECURITY DE
22/03/2022	1	90	000005	02055406	CHAMPABEN MAHENDRAKU		ND000001	NATIONAL SECURITY DE
22/03/2022	1	80	000005	02313804	SHYAM SUNDER BAJORIA		ND000001	NATIONAL SECURITY DE
22/03/2022	1	10	000005	0A000832	ASHOK KUMAR SOMANI		ND000001	NATIONAL SECURITY DE
22/03/2022	1	4	000005	0C000083	CHAMPABEN MAHENDRAKU		ND000001	NATIONAL SECURITY DE
23/03/2022	1	90	000005	02383519	VASANTI MADHUKAR PAT		CD000001	CENTRAL DEPOSITORY S
23/03/2022	1	50	000005	02166801	LAXMIBEN R KHADALIA		ND000001	NATIONAL SECURITY DE
23/03/2022	1	222	000005	03094103	DILIP KUMAR GHOSE		ND000001	NATIONAL SECURITY DE
28/03/2022	1	60	000005	02316773	SATPAL MARWAHA		CD000001	CENTRAL DEPOSITORY S
28/03/2022	1	50	000005	03076415	VINODKUMAR MITHALAL		CD000001	CENTRAL DEPOSITORY S
28/03/2022	1	2	000005	0S000570	SATPAL MARWAHA		CD000001	CENTRAL DEPOSITORY S

SARAF & ASSOCIATES

COMPANY SECRETARIES

www.sarafandassociates.com

423, Hind Rajasthan Building, 95, Dadasaheb Phalke Road, Dadar (C.Rly.), Mumbai - 400 014.

☎ (022) 2413 0371 / 2415 3887 ☎ +91 98203 20072 ✉ kamalax_saraf@hotmail.com

FORM NO. MGT-8

[Pursuant to Section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

I have examined the registers, records and books and papers of **NOVARTIS INDIA LIMITED** (the Company) (**CIN L24200MH1947PLC006104**) as required to be maintained under the Companies Act, 2013 ('the Act') and the rules made thereunder for the financial year ended on 31st March 2022. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I certify that:

- A. The annual return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year, the company has complied with provisions of the Act & Rules made there under in respect of:
 1. Its status under the Act; i.e Public - Non-Government Company limited by Shares
 2. Maintenance of registers/records & making entries therein within the time prescribed thereof;
 3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies within the prescribed time;
 4. Calling/convening/holding meetings of the Board of Directors, its committees, and the meetings of the members of the company on dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
 5. Closure of registers of members/Security holders.
 6. Advances/loans given to its Directors and/or persons or firms or companies referred in Section 185 of the Act; (**NIL during the period under review**)
 7. Contracts/arrangements with related parties as specified in Section 188 of the Act;
 8. Transfer or transmission of securities and issue of security certificates in all instances.
 9. Keeping in abeyance the rights to dividend and pending registration of transfer of shares in compliance with the provisions of the Act;
 10. Declaration/payment of dividend; transfer of unpaid/unclaimed dividend/ other amounts, as applicable, to the Investor Education and Protection Fund in accordance with Section 125 of the Act;

11. Signing of audited financial statement as per the provisions of Section 134 of the Act and report of directors is as per sub-sections (3) and (5) thereof.
12. Constitution/appointment/re-appointment/disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. Appointment of auditors as per the provisions of Section 139 of the Act;
14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act; **(NIL during the period under review)**
15. Acceptance of deposits under the provisions of Sections 73 to 76 or any other relevant provisions of the Act and the rules framed there under; **(NIL during the period under review)**
16. Borrowings from its directors, members, public financial institutions, banks and others and creation/modification/satisfaction of charges in that respect, wherever applicable. **(NIL during the period under review)**
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act ; **(NIL during the period under review)**
18. Alteration of the provisions of the memorandum and/or articles of association of the company. **(NIL during the period under review)**

Date :

Place : Mumbai

UDIN :

**For Saraf & Associates
Company Secretaries**

**K.G. Saraf
Practising Company Secretary
C.P.642
M No. 1596
FRN. S1988MH004801
PR. 1003/2020**