

999999

Mr. Hans Muster
Musterstrasse 1
9999 Musterstadt**Registration/Appointment of Proxy for the Annual General Meeting of Novartis AG to be held on March 7, 2023 at 10:00 CET in the St. Jakobshalle, Basel**

Returning this form as early as possible will facilitate the preparations for the General Meeting. Returned forms will be processed by Devigus Shareholder Services, Birkenstrasse 47, 6343 Rotkreuz, Switzerland. For replies arriving later than **March 3, 2023** the processing cannot be guaranteed.

Personal Attendance at the General Meeting

- I/we shall attend the General Meeting and request an admission ticket in my/our name along with voting materials.

Admission tickets and voting materials will be sent out from February 24, 2023 to March 3, 2023.

or Appointment of Proxy

I/we shall not attend the General Meeting and hereby appoint as my/our proxy to vote my/our shares:

- the Independent Proxy, lic. iur. Peter Andreas Zahn, Attorney at Law, St. Jakobs-Strasse 7, 4052 Basel, Switzerland, or any substitute Independent Proxy as may be designated in replacement of lic. iur. Peter Andreas Zahn by the Board of Directors.

The votes of my/our shares represented by the Independent Proxy are to be cast in accordance with the motions of the Board of Directors in all instances, except to the extent I/we have given different instructions (whether individual instructions in respect of the motions published in the Invitation to the General Meeting, or general instructions) on the Instruction Form.

- the following shareholder or legal representative (*please indicate name and address*):

If Novartis AG or members of the Board of Directors or of the Executive Committee are appointed as proxies, the power of attorney will be delegated to the Independent Proxy.

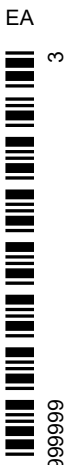
A shareholder of Novartis AG may only be represented at the General Meeting by his or her legal representative, another shareholder with the right to vote or the Independent Proxy. **Please note that family members who are not themselves shareholders cannot be appointed as proxies. This does not apply to family members acting as legal representatives.**

A shareholder who has not ticked any of the above three boxes will receive an admission ticket.

A proxy appointment is only acceptable and valid if this form is duly signed.

Date_____
Signature

PTO



Voting Instructions to the Independent Proxy

A	Individual instructions in respect of the motions published under the agenda items in the Invitation to the General Meeting (Agenda)	Please tick only one box per agenda item		
		Yes	No	Abstention
1	Approval of the Operating and Financial Review of Novartis AG, the Financial Statements of Novartis AG and the Group Consolidated Financial Statements for the 2022 Financial Year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Discharge from Liability of the Members of the Board of Directors and the Executive Committee	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	Appropriation of Available Earnings of Novartis AG as per Balance Sheet and Declaration of Dividend for 2022	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	Reduction of Share Capital	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	Further Share Repurchases	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6	Amendment of the Articles of Incorporation			
	6.1 Introduction of Article 12a of the Articles of Incorporation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	6.2 Amendment of Articles 10, 14, 30, 33 and 34 of the Articles of Incorporation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	6.3 Amendment of Articles 4-7, 9, 11-13, 16-18, 20-24, 27, 38 and 39 of the Articles of Incorporation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	Votes on Compensation for the Members of the Board of Directors and the Executive Committee			
	7.1 Binding Vote on the Maximum Aggregate Amount of Compensation for the Board of Directors from the 2023 Annual General Meeting to the 2024 Annual General Meeting	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	7.2 Binding Vote on the Maximum Aggregate Amount of Compensation for the Executive Committee for the 2024 Financial Year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	7.3 Advisory Vote on the 2022 Compensation Report	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8	Re-elections of the Board Chair and the Members of the Board of Directors, Election of one new Member to the Board of Directors			
	8.1 Re-election of Joerg Reinhardt as Member and Board Chair	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	8.2 Re-election of Nancy C. Andrews	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	8.3 Re-election of Ton Buechner	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	8.4 Re-election of Patrice Bula	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	8.5 Re-election of Elizabeth Doherty	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	8.6 Re-election of Bridgette Heller	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	8.7 Re-election of Daniel Hochstrasser	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	8.8 Re-election of Frans van Houten	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	8.9 Re-election of Simon Moroney	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	8.10 Re-election of Ana de Pro Gonzalo	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	8.11 Re-election of Charles L. Sawyers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	8.12 Re-election of William T. Winters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	8.13 Election of John D. Young	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	Re-elections to the Compensation Committee			
	9.1 Re-election of Patrice Bula	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	9.2 Re-election of Bridgette Heller	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	9.3 Re-election of Simon Moroney	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	9.4 Re-election of William T. Winters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10	Re-election of the Auditor	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11	Re-election of the Independent Proxy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

B General instructions in case of alternative motions under the agenda items published in the Invitation to the General Meeting, and/or of motions relating to additional agenda items according to Article 704b of the Swiss Code of Obligations

I/we instruct the Independent Proxy to vote as follows:

- According to the motion of the Board of Directors
- Against alternative and/or additional motions
- Abstain from voting

EB

3



999999