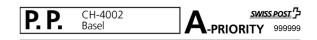


Novartis AG Share Registry Forum 1-2.77 P.O. Box 4002 Basel Switzerland

Telephone +41 61 324 72 04 share.registry@novartis.com



Mr. Hans Muster Musterstrasse 1 9999 Musterstadt



Appointment of Proxy and Instructions for the Annual General Meeting of Novartis AG to be held on March 4, 2022 at 14:00 CET

Returning this form as early as possible will help facilitate the preparations for the General Meeting. Returned forms will be processed by Devigus Shareholder Services, Birkenstrasse 47, 6343 Rotkreuz, Switzerland. If replies arrive later than **March 2, 2022**, their processing cannot be guaranteed.

Appointment of Proxy

I/we hereby appoint the Independent Proxy, lic. iur. Peter Andreas Zahn, Attorney at Law, St. Jakobs-Strasse 7, 4052 Basel, Switzerland, or any substitute Independent Proxy as may be designated in replacement of lic. iur. Peter Andreas Zahn by the Board of Directors, as my/our proxy to vote my/our shares:

The votes of my/our shares represented by the Independent Proxy are to be cast in accordance with the motions of the Board of Directors in all instances, except to the extent I/we have given different instructions (whether individual instructions in respect of the motions published in the Invitation to the Annual General Meeting, or general instructions) on the Instructions Form.

A proxy appointment is only acceptable and valid if this form is duly signed.

Date

Signature

Voting Instructions to the Independent Proxy

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	A	Individual instructions in respect of the motions published under the agenda items in the Invitation to the General Meeting (Agenda)	Please tick only one box per agenda item	
ļ			Yes No	Abstention
	1	Approval of the Operating and Financial Review of Novartis AG, the Financial Statements of Novartis AG and the Group Consolidated Financial Statements for the 2021 Financial Year		
	2	Discharge from Liability of the Members of the Board of Directors and the Executive Committee		
	3	Appropriation of Available Earnings of Novartis AG as per Balance Sheet and Declaration of Dividend for 2021		
	4	Reduction of Share Capital		
	5	Further Share Repurchases		
	6	Votes on Compensation for the Members of the Board of Directors and the Executive Committee		
		6.1 Binding Vote on the Maximum Aggregate Amount of Compensation for the Board of Directors from the 2022 Annual General Meeting to the 2023 Annual General Meeting		
		6.2 Binding Vote on the Maximum Aggregate Amount of Compensation for the Executive Committee for the Financial Year 2023		
		6.3 Advisory Vote on the 2021 Compensation Report		
	7	Re-elections of the Board Chair and the Members of the Board of Directors, Election of two new Members to the Board of Directors		
		7.1 Re-election of Joerg Reinhardt as Member and Board Chair		
		7.2 Re-election of Nancy C. Andrews		
		7.3 Re-election of Ton Buechner		
		7.4 Re-election of Patrice Bula		
		7.5 Re-election of Elizabeth Doherty		
		7.6 Re-election of Bridgette Heller		
		7.7 Re-election of Frans van Houten		
		7.8 Re-election of Simon Moroney		
		7.9 Re-election of Andreas von Planta		
		7.10 Re-election of Charles L. Sawyers		
		7.11 Re-election of William T. Winters		
		7.12 Election of Ana de Pro Gonzalo		
		7.13 Election of Daniel Hochstrasser		
	8	Re-elections to the Compensation Committee		
		8.1 Re-election of Patrice Bula		
		8.2 Re-election of Bridgette Heller		
		8.3 Re-election of Simon Moroney		
		8.4 Re-election of William T. Winters		
	9	Election of the Statutory Auditor		
	10	Re-election of the Independent Proxy		
	В	General instructions in case of alternative motions under the agenda items published in the Invitation to th of motions relating to additional agenda items according to Article 700 paragraph 3 of the Swiss Code of Ob		eeting, and/or
		I/we instruct the Independent Proxy to vote as follows:		
l		According to the motion of the Board of Directors		
I		Against alternative and/or additional motions		
l		Abstain from voting		

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