

Transparency and Disclosure ^[1]

Our vision is to be a trusted leader in changing the practice of medicine. A big part of gaining this trust is being transparent – being open and clearly disclosing what we do, how we work, where we are successful. This applies across all aspects of our business around the world.

In order to allow everyone to get a better look at our business and how we operate, we publish several key pieces of information:

Novartis in Society ESG Report

We issue an annual Novartis in Society ESG Report, which consolidates the company's reporting on environmental, social and governance topics and details our progress against our targets.

[Read more](#) ^[2]

Novartis Environmental, Social and Governance (ESG) Index

We have created the Novartis ESG Index to signpost where our key disclosures can be found across our publications and channels. Novartis aims to report comprehensively and transparently for all stakeholders concerned with ESG topics.

[Read more](#) ^[3]

Novartis in Society US Report

Our stakeholders have important questions about our business practices in the United States, including our approach to price adjustments, patient assistance, investment in research and development, and marketing. This report aims to help answer those questions.

[Download the 2020 Report \(PDF 1.5 MB\)](#) ^[4]

Payments to Healthcare Professionals

Novartis seeks to collaborate with Healthcare Professionals and Healthcare Organizations in the interest of patients. As an industry we make payments or "transfers of value" to Healthcare Professionals and Organizations to compensate them for the time spent working with us. In several countries, we publish payments to Healthcare Professionals and Organizations in line with local laws or regulations.

[Read more](#) ^[5]

Patient Group Funding

Novartis works with many organizations that advocate for patients around the world. These organizations play a crucial role by informing and supporting patients, as well as safeguarding the rights of patients and caregivers. Each year we disclose our relationships with patient organizations online.

[Read more](#) ^[6]

Public Policy & Advocacy

Novartis actively participates in public policy debates affecting healthcare and our business. This includes engaging in constructive dialogue with policymakers and other external stakeholders with the intent to represent the perspective of Novartis in the policy making process, as well as making financial contributions to support political dialogue on issues of relevance to the company.

[Read more](#) ^[7]

Living Wage

Novartis was one of the first international companies to implement a commitment to pay a living wage to all of its employees.

[Read more](#) ^[8]

Safe Workplace

Employee safety is an integral part of an employer's responsibility. Novartis Group companies are committed to providing our associates with safe workplaces.

[Read more](#) ^[9]

Animals & Research

Novartis needs animal research to find innovative, safe and life-saving medicines for patients. We are committed to transparent reporting of the numbers of animals needed for research and development purposes.

[Read more](#) ^[10]

Clinical Trial Results Disclosure

Novartis informs the public about the results of its interventional clinical trials for innovative compounds, regardless of the outcome. The results of Novartis clinical trials are made publicly available through peer-reviewed publications and posting of results on the Novartis clinical trial results database and other online public databases.

[Read more](#) ^[11]

[Visit Novartis Clinical Trials](#) ^[12]

Environmental Impacts

We take our responsibility to protect the environment seriously. As part of that commitment, each year we disclose our submissions to the CDP on climate change and water. CDP is a not-for-profit charity that runs the global disclosure system for investors, companies, cities, states and regions to manage their environmental impacts.

[Download our CDP Climate Change Information Request \(PDF 0.6 MB\)](#) ^[13]

[Download our CDP Water Information Request \(PDF 0.3 MB\)](#) ^[14]

Conflict Minerals Report

We disclose annually to the US Securities and Exchange Commission our use of certain minerals and their origins.

[Download the SEC Form SD and Conflict Minerals Report 2020 \(PDF 0.2 MB\)](#) ^[15]

Novartis Positions on Key Issues

As part of our ongoing commitment to transparency, we publish our positions on various issues affecting our business.

[View and download our positions](#) ^[16]

Codes, Policies and Guidelines

We publish an updated list of our major codes, policies and guidelines that govern the company's activities.

[View and download our key codes, policies and guidelines](#) ^[17]

Source URL: <https://www.novartis.com/our-company/corporate-responsibility/reporting-disclosure/transparency-disclosure>

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