

## ESG Rating Performance <sup>[1]</sup>

Novartis aims to hold itself to the highest standards of sustainability and ethical business practices. Our performance against environmental, social and governance (ESG) criteria is reflected in various third-party ratings.

Download a summary of our [ESG ratings performance \(PDF 0.3 MB\)](#) <sup>[2]</sup>.

### **Strong governance, enhanced processes and focus on material ESG factors improved rating agencies scores**

Agency	SPS	Score	Score Current	Previous	Score change drivers	
 SUSTAINALYTICS	1.2	Risk score	▲ 17	21	Strong management of material ESG issues	<b>Top tier sector leading performance</b>  <b>Improved performance since Q4:</b> Sustainalytics FTSE4good ATMI MSCI
		Controversy level	▶ 3	3	Strong corporate governance, positive on settlement of legacy issues	
ISS ESG	4	ESG score	▲ B	B-	Outperforming on access, ethics. Recent update on US generics industry controversy	
FTSE4Good	3	ESG score	▲ 4.7	4.1	Improvement across each pillar	
 ACCESS TO MEDICINE STRATEGY	5	Ranking	▲ 2 (4.18)	2 (3.2)	Industry leader in product delivery	
 CDP	3	Climate	▶ A-	A-	Leadership level: Strong water policies, governance, environmental strategy	
		Water	▶ A	A		
 MSCI	3.6	ESG score	▲ A	BBB	Recent settlements, ethics policies, employee engagement strategy. R&D, product portfolio address access in underserved markets and robust vs. industry peers	
		MSCI Global Compact	▲ Watchlist	Fail		
 Dow Jones Sustainability Indexes	3.7	ESG score	▲ 73	70	Strong improvement in Philanthropy, and Policy Influence. Leading practices in Environment and Social Reporting, Labor practices and Human Capital	

For more information on our awards and recognition, please click [here](#) <sup>[3]</sup>.

**Source URL:** <https://www.novartis.com/investors/environmental-social-and-governance/esg-rating-performance>

#### Links

[1] <https://www.novartis.com/investors/environmental-social-and-governance/esg-rating-performance>

[2] <https://www.novartis.com/sites/www.novartis.com/files/novartis-esg-ratings-overview.pdf>

[3] <https://www.novartis.com/our-company/awards-recognition>