

#	Agenda item	Yes		No		Abstention	
		Votes	%	Votes	%	Votes	%
1	Approval of the Operating and Financial Review of Novartis AG, the Financial Statements of Novartis AG and the Group Consolidated Financial Statements for the 2020 Financial Year	1 469 271 494	99.6	631 150	0.1	5 148 303	0.3
2	Discharge from Liability of the Members of the Board of Directors and the Executive Committee	1 438 319 348	97.6	24 791 968	1.7	10 990 476	0.7
3	Appropriation of Available Earnings of Novartis AG as per Balance Sheet and Declaration of Dividend for 2020	1 469 232 599	99.6	4 951 931	0.3	866 417	0.1
4	Reduction of Share Capital	1 470 331 783	99.6	2 454 270	0.2	2 264 894	0.2
5	Further Share Repurchases	1 444 522 236	97.9	26 556 856	1.8	3 971 855	0.3
6.1	Binding Vote on the Maximum Aggregate Amount of Compensation for the Board of Directors from the 2021 Annual General Meeting to the 2022 Annual General Meeting	1 428 467 513	96.8	41 257 619	2.8	5 325 815	0.4
6.2	Binding Vote on the Maximum Aggregate Amount of Compensation for the Executive Committee for the Financial Year 2022	1 358 433 603	92.1	110 956 109	7.5	5 661 235	0.4
6.3	Advisory Vote on the 2020 Compensation Report	1 342 674 229	91.0	125 546 206	8.5	6 830 512	0.5
7.1	Re-election of Joerg Reinhardt as Member and Chairman of the Board of Directors	1 333 893 609	90.4	132 148 913	9.0	9 008 425	0.6
7.2	Re-election of Nancy C. Andrews as Member of the Board of Directors	1 467 986 912	99.6	4 989 078	0.3	2 074 957	0.1
7.3	Re-election of Ton Buechner as Member of the Board of Directors	1 313 258 755	89.0	159 479 987	10.8	2 312 205	0.2
7.4	Re-election of Patrice Bula as Member of the Board of Directors	1 455 131 051	98.7	17 724 844	1.2	2 195 052	0.1
7.5	Re-election of Elizabeth Doherty as Member of the Board of Directors	1 467 921 388	99.6	5 022 202	0.3	2 107 357	0.1
7.6	Re-election of Ann Fudge as Member of the Board of Directors	1 422 943 800	96.4	49 881 801	3.4	2 225 346	0.2
7.7	Re-election of Bridgette Heller as Member of the Board of Directors	1 466 832 817	99.5	6 097 371	0.4	2 120 759	0.1
7.8	Re-election of Frans van Houten as Member of the Board of Directors	1 463 871 002	99.2	8 938 073	0.6	2 241 872	0.2
7.9	Re-election of Simon Moroney as Member of the Board of Directors	1 469 764 431	99.7	3 083 493	0.2	2 203 023	0.1
7.10	Re-election of Andreas von Planta as Member of the Board of Directors	1 389 838 499	94.2	83 345 342	5.7	1 867 106	0.1
7.11	Re-election of Charles L. Sawyers as Member of the Board of Directors	1 463 123 073	99.1	9 707 021	0.7	2 220 853	0.2
7.12	Re-election of Enrico Vanni as Member of the Board of Directors	1 449 297 385	98.2	23 364 116	1.6	2 389 446	0.2
7.13	Re-election of William T. Winters as Member of the Board of Directors	1 459 257 377	98.9	11 412 584	0.8	4 380 986	0.3
8.1	Re-election of Patrice Bula as Member of the Compensation Committee	1 442 313 490	97.8	29 971 234	2.0	2 766 223	0.2
8.2	Re-election of Bridgette Heller as Member of the Compensation Committee	1 443 730 876	97.9	28 648 319	1.9	2 671 752	0.2
8.3	Re-election of Enrico Vanni as Member of the Compensation Committee	1 417 483 296	96.1	54 698 725	3.7	2 868 926	0.2
8.4	Re-election of William T. Winters as Member of the Compensation Committee	1 436 740 677	97.4	33 347 014	2.3	4 963 256	0.3
8.5	Election of Simon Moroney as Member of the Compensation Committee	1 454 929 777	98.6	17 249 628	1.2	2 871 542	0.2
9	Re-election of the Statutory Auditor	1 365 592 982	92.6	107 674 511	7.3	1 783 454	0.1
10	Re-election of the Independent Proxy	1 472 496 457	99.8	1 151 007	0.1	1 403 483	0.1
11	Amendment to Article 20 Paragraph 3 of the Articles of Incorporation	1 468 610 390	99.6	3 364 573	0.2	3 075 984	0.2